



CF/017

THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Phillip M. Ginsberg

Application No.: 09/843,093 Confirmation No.: 8423

Filed : April 26, 2001

For : METHODS AND SYSTEMS FOR ACCESSING  
FINANCIAL PROSPECTUS DATA

Group Art Unit : 3624

Examiner : Richard C. Weisberger

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

New York, New York 10020  
August 18, 2005

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicant hereby makes the following document of record in the above-identified patent application:

Foreign Patent Document

08142538 A Dainippon Printing Co. Ltd. 06/04/96

The aforementioned patent application publication is listed on the accompanying Form PTO/SB/08a (submitted in duplicate) and a copy of the above-listed document is enclosed herewith.

Certification Pursuant  
to 37 C.F.R. § 1.97(e)

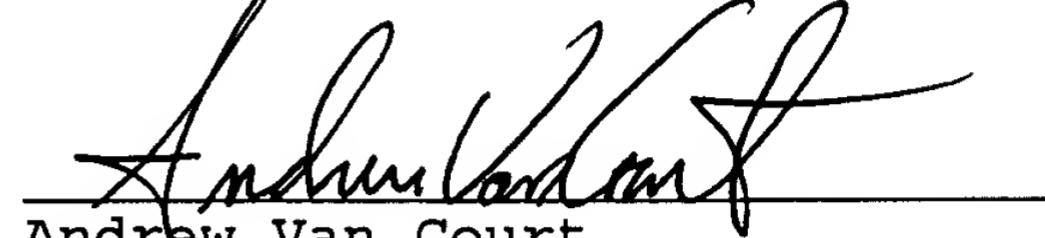
The information contained in this Third Supplemental Information Disclosure Statement was cited in a communication from a patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. In particular, these references were cited in the May 27, 2005 Office Action on applicant's corresponding Great Britain application No. GB0326270.6. In accordance with 37 C.F.R. §1.97(c) (1), submission of this Statement requires no fee.

It is respectfully requested that this publication be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO/SB/08a, as considered and initialed by the Examiner, be returned with the next communication.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Third Supplemental Information Disclosure Statement to Deposit Account No. 06-1075, Order No. 000741-0017. A duplicate copy of this letter is transmitted herewith.

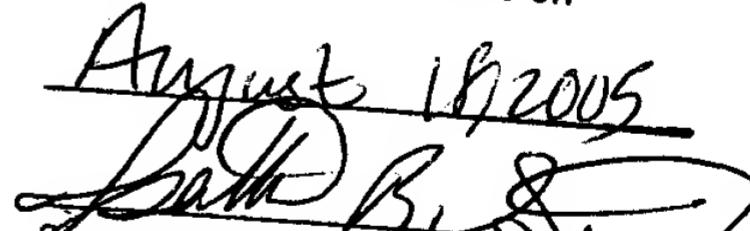
Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

  
\_\_\_\_\_  
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# THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

(Use as many sheets as necessary)

AUG 22 2005

Sheet 1 of 1

Application Number	09/843,093
Confirmation Number	8423
Filing Date	April 26, 2001
First Named Inventor	Philip M. Ginsberg
Art Unit	3624
Examiner Name	Richard C. Weisberger
Attorney Docket Number	CF/017

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		08-142538 A	06/04/96	Dainippon Printing Co. Ltd.	English Abstract	

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>		

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicants. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicants are to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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